



National Stock Exchange of India Ltd.

Limit Setting through ENIT
User Manual

Version 1.0

Procedure for submitting 'Limit Setting' request through ENIT

Limit Setting:

- Members can set limits for all level of user ids (Corporate Manager, Branch Manager and Dealers) by request through ENIT.

The following request can be submitted through ENIT:

- Digitally submit limit setting request for Corporate manager IDs , Dealers, Branch Managers ids
 - Tracking of request status.
 - Receive sms and /or email alert for submission, completion of request.
- Timings for system availability and processing:

Segment	Timings when ENIT window will be available to submit request
CM	00:00 hrs to 15:30 hrs on Monday to Friday - excluding trading holidays
FAO	00:00 hrs to 15:30 hrs on Monday to Friday - excluding trading holidays
CDS	00:00 hrs to 17:00 / after cross currency normal timings open till 19:30 on Monday to Friday - excluding trading holidays
CO	00:00 hrs to 23:30 hrs on Monday to Friday - excluding trading holidays
SLBM	00:00 hrs to 17:00 hrs on Monday to Friday - excluding trading holidays

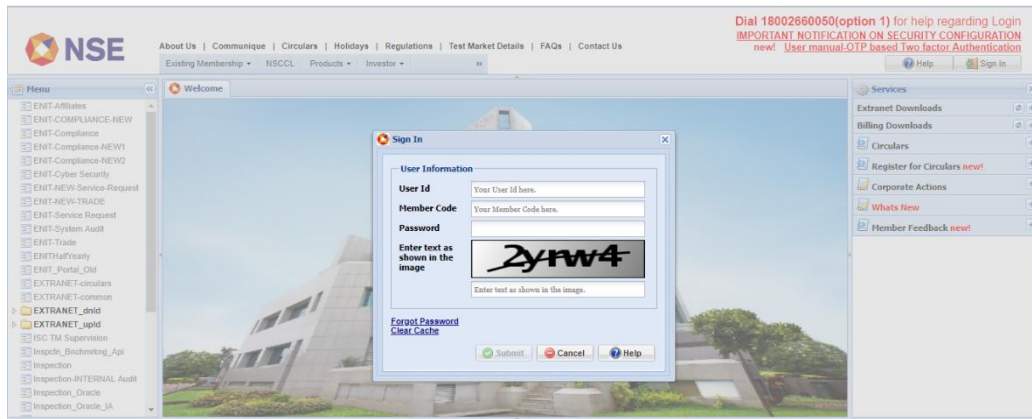
All request received within the above time range will be processed only after market hours.

Software requirements:

- Google Chrome (preferred)
- Internet Explorer 11.0

Access:

- Members should login through the below link to log in into ENIT.
<https://enit.nseindia.com/MemberPortal/>



➤ When user logs in, below screen will be displayed.



- Click on 'ENIT- NEW- TRADE' as displayed in the image given above
- To raise a request go to the below mentioned path (as displayed in the image given below):
 - *Enit-New-Trade > Trade > Limit Setting > New Limit Setting Request*

Compliance for Auditor		Member Education		Compliance		Trade		Membership		
GSTIN Information										
ENTITY					Address					
National Stock Exchange of India Limited					G-Block, Mumbai,					
National Securities Clearing Corporation of India Limited					G-Block, Mumbai,					
						Provisional GST Id				
						27AAACN1797L1Z0				
						27AAACN2642L1ZE				
Alerts										
						Limit Setting	New Limit Setting Request			
						Incident Report	View Request Status			

The below screen will be displayed:

User Manual

Limit Setting

Segment*

SELECT

Corporate Manager Id*

Select

File Upload*

Choose File No file chosen

Contact Person Details

Name*

Telephone No.*

Email ID*

- The segment must be selected for which limit setting has to be done
- Corporate manager user id for the particular segment needs to be selected from the drop down list

Once the corporate manager is selected member needs to upload the limit file in the below field:

Limit Setting

Segment*

CM

Corporate Manager Id*

File Upload*

Choose File No file chosen

Contact Person Details

Name*

Telephone No.*

Email ID*

- Members need to follow the below instructions:
 1. Download the latest limit file from Extranet from the below path:

Segment	Nomenclature:	FTP path:
CM	CM_UL_<TM_ID>_ddmmyyyyn.csv	common/<MemberCode>/Reports/Order_Reports
FO	FO_UL_<TM_ID>_ddmmyyyyn.csv	faoftp/F<MemberCode>/Reports/Order_Reports
CD	CD_UL_<MemberCode>_ddmmyyyyn.csv	/cdsftp/X<MemberCode>folder/Reports/Order_Reports
COM	CO_UL_<MemberCode>_ddmmyyyyn.csv	/comtftp/O<MemberCode>folder/Reports/Order_Reports

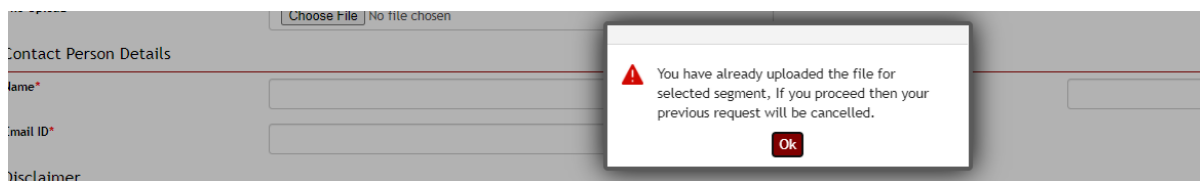
2. Change the date to current date in file nomenclature
3. The value of "n" in file nomenclature should be number of times the file is uploaded in a day e.g. n will be 1 for first file of the day being uploaded.
4. Open the file in .csv
5. Change the header of Column B to same as file nomenclature
6. Modify the limits for branch and user in Column G
7. The minimum and maximum value that can be set for each field is as below:

Field description	Segment	Minimum value accepted in trading system	Maximum value accepted in trading system		Remarks
'BFBVL'- Branch Futures Buy Value Limit	FO,CD COM	0	1000000000		In fields where decimal places are allowed it will be mandatory for the member to place two decimals only in input file on ENIT.
'BFSVL'- Branch Futures Sell Value Limit	FO,CD COM	0	1000000000		
'BOBVL'- Branch Options Buy Value Limit	FO,CD COM	0	1000000000		
'BOSVL'- Branch Options Sell Value Limit	FO,CD COM	0	1000000000		
'UFBVL'- User Futures Buy Value Limit	FO,CD COM	0	1000000000		
'UFSVL'- User Futures Sell Value Limit	FO,CD COM	0	1000000000		
'UOBVL'- User Options Buy Value Limit	FO,CD COM	0	1000000000		
'UOSVL'- User Options Sell Value Limit	FO,CD COM	0	1000000000		
'OVL'- Order Value Limit	FO,CD COM	0	FO- 99999999.99 CD- 99999999.99 COM- 99999999.99		
'OQL'- Order Quantity Limit	FO,CD COM	0	9999999999	no decimals allowed	
'SOVL'- Spread Order Value Limit	FO,CD COM	0	FO- 99999999.99 CD- 99999999.99 COM- 99999999.99		

'SOQL'- Spread Order Quantity Limit	FO,CD COM	0	9999999999	no decimals allowed
'BBVL'- Branch Buy Value Limit	CM	0	99999999.99	
'BSVL'- Branch Sell Value Limit	CM	0	99999999.99	
'OVL'- Order Value Limit	CM	0	99999999.99	
'OQL'- Order Quantity Limit	CM	0	9999999999	no decimals allowed
'UBVL'- User Buy Value Limit	CM	0	99999999.99	
'USVL'- User Sell Value Limit	CM	0	99999999.99	

*Illustration for member understanding of submitting details in the input file is provided at end of this document

8. The member can upload the input file multiple times in a day till the restricted time. In such case the latest uploaded file will be considered for processing. The earlier request sent for limit setting will be auto cancelled. The below message will be populated:



9. The member has to then fill in the contact details for future correspondence
10. Members are requested to read all the disclaimer carefully and then agree to the terms and condition by clicking the tick box
11. Further, member needs to click on "Certify" to digitally sign the request by authorized signatory and then click on "Submit"

Tracking of request:

- On successful submission of a request, member will receive a reference number for all the future correspondence in the format : ddmmyyyy/Segment/LIMITSETTING/Reference number
- On successful submission the status of the request will be 'Submitted to Exchange'.
- Trading Members can view the status of such requests in the report available on the path:
- *Enit-New-Trade>Trade>Limit Setting>View Request Status*

[Home](#)
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[Compliance](#)
[Trade](#)
[Membership](#)

LIMIT SETTING REQUEST REPORT

Member Name

Segment

From Date

Reference No

Bulk/Block Reporting
Market Maker
Password Reset/Unlock NEAT User id
Member Reporting Pre Trade
User Id Request
System Audit
Trade Compliance(Post Trade)
Pro Trading
NNF
Cyber Security
Limit Setting
Incident Report

Member Code
Status
To Date

New Limit Setting Request
View Request Status

- The member will receive the out file which is processed on the below link as per the sample given below.

LIMIT SETTING REQUEST REPORT

Member Name
Member Code

Segment
Status

From Date
To Date

Reference No

Search
Reset

Status	Submission Date	Remarks	Contact Person Name	Contact Person Tel No	Contact Person Email	In File	Out File
SUBMITTED TO EXCHANGE	05-Jan-2021 17:13:42		aaa	3333333333	as@as.com	CM_UL_08078_050120211.d	
CANCELLED	05-Jan-2021 13:12:13	Cancelled due to new request found.	asas	1111111111	as@as.com	CM_UL_10733_050120211.d	
CANCELLED	05-Jan-2021 13:07:55	Cancelled due to new request found.	asas	1111111111	as@as.com	CM_UL_10733_050120211.d	
CANCELLED	05-Jan-2021 13:00:12	Cancelled due to new request found.	ok	7894561231	as@as.com	CM_UL_10733_050120211.d	
CANCELLED	05-Jan-2021 12:56:02	Cancelled due to new request found.	asas	1111111111	as@as.com	CM_UL_10733_050120211.d	
CANCELLED	05-Jan-2021 12:51:11	Cancelled due to new request found.	ok	7894561231	as@as.com	CM_UL_10733_050120211.d	
COMPLETED	05-Jan-2021 00:00:00		sdfsd	9819965432	as@as.com	CM_UL_10733_040120211.d	CM_UL_10733_040120211.d

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- Member can open the file and view the request status
- Status of Request available on ENIT:
 - Submitted to Exchange – When new request is submitted on ENIT
 - Under processing – Request is considered for processing
 - Cancelled -Request which have been cancelled as new files have been uploaded
 - Completed -Request processed successfully. The status of entries in the file can be Approved /Rejected
- The requestor will receive sms and / or email alerts on the contact details provided by him for the status of his request

ILLUSTRATION FOR PREPARING MEMBER INPUT FILE:

- The name of the file should as per format:

Seg	Nomenclature
CM	CM_UL_<TM_ID>_ddmmyyyyn.csv
FO	FO_UL_<TM_ID>_ddmmyyyyn.csv
CD	CD_UL_<MemberCode>_ddmmyyyyn.csv

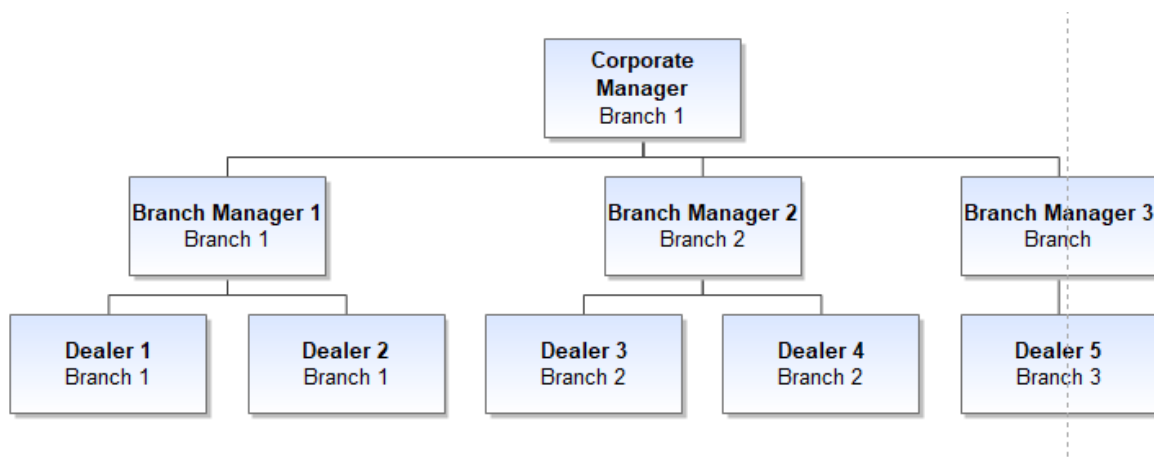
CO	CO_UL_<MemberCode>_ddmmyyyyn.csv
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Note : ddmmyyyyn – should be equal to the request submission date and the file number

2. All fields in the input file should be as per defined data type and Max Length and descriptions.
3. The number of records in the file should be equal to number given in header record.
4. The total of limits for “branch & UBVL combination” should be less than or equal to respective “branch & BBVL combination”.
5. The below limit type doesn’t have any dependency on Branch / User limit type :

- ❖ ‘OVL’- Order Value Limit
- ❖ ‘OQL’- Order Quantity Limit
- ❖ ‘SOVL’- Spread Order Value Limit
- ❖ ‘SOQL’- Spread Order Quantity Limit

User level Hierarchy is as below :



The same is illustrated in the below example:

10	CM_UL_XXXXX_050520201.csv	XXXXX	1660	0		
20		1			BBVL	1500
20	BM	1	758	N	UBVL	100
20	DL	1	8228	N	UBVL	100
20	DL	1	8586	N	UBVL	50

20	DL	1	10336	N	UBVL	200
20	DL	1	10453	N	UBVL	50
20	DL	1	10454	Y	UBVL	0
20	DL	1	10559	Y	UBVL	100
20	DL	1	10580	N	UBVL	0
20	DL	1	25665	N	UBVL	0

6. The total of limits for “branch & USVL combination” should be less than or equal to respective “branch & BSVL combination”.

The same is illustrated in the below example:

10	FO_UL_XXXXX_110520201.csv	XXXXX	2524	4		
20		1			BFSVL	4000000
20	CM	1	34798	N	UFSVL	10000
20	DL	1	34827	Y	UFSVL	1500000
20	DL	1	34872	Y	UFSVL	5000
20	DL	1	34894	Y	UFSVL	1500000

FO, CD & CO Segment:

7. The total of limits for “branch & UFBVL combination” should be less than or equal to respective “branch & BFBVL combination”.
8. The total of limits for “branch & UFSVL combination” should be less than or equal to respective “branch & BFSVL combination”.
9. The total of limits for “branch & UOBVL combination” should be less than or equal to respective “branch & BOBVL combination”.
10. The total of limits for “branch & UOSVL combination” should be less than or equal to respective “branch & BOSVL combination”.

*****END*****